INDUSTRIAL VISIT REPORT

VENUE: SEBI, New Delhi Office

DATE: **21**st **March**, **2024** NO. OF STUDENTS: **37**

DEPARTMENT: Department of Management

FACULTY COORDINATOR: Mr. Amit Singh & Miss Renu Sharma.

OVERVIEW

Training and Placement Cell of RPSCET organized an Industrial Visit on 21st March, 2024 at SEBI, New Delhi Office for the students of MBA- II SEMESTER. The visit was organized with prior permission and guidance of Hon'ble Director, Dr. Mahesh K. Yadav.

Introduction: On the **21**st of **March 2024**, the **MBA-II Semester students** of **RPS College of Engineering and Technology** undertook an industrial visit to the Securities and Exchange Board of India (SEBI) office located in New Delhi. Both the faculties and 37 students visited the SEBI Office New Delhi and started the trip from RPS college campus at 9:15 AM and reached on time as per the given schedule.

The visit aimed to provide students with practical insights into the functioning of regulatory bodies in the financial sector, specifically focusing on SEBI's role in regulating the securities market in India.

Objectives:

- 1. To understand the regulatory framework governing the securities market in India.
- 2. To gain insights into the role and functions of SEBI in maintaining market integrity and protecting investor interests.
- 3. To observe the practical implementation of regulatory guidelines by SEBI officials.
- 4. To interact with professionals working in the field of securities regulation and gain industry exposure.

Visit Details: The visit commenced at 02:00 PM with a warm welcome extended by SEBI officials, who briefed the students about the history, objectives, and organizational structure of SEBI. The students were provided with an overview of SEBI's regulatory framework and its significance in ensuring transparency, fairness, and efficiency in the securities market.

Following the introductory session, the students were divided into smaller groups and taken on a guided tour of various departments within the SEBI office. They had the opportunity to observe firsthand the functions of different divisions, including:

- 1. **Market Regulation Department**: Students learned about the monitoring and surveillance activities conducted by SEBI to detect market manipulation, insider trading, and other malpractices.
- 2. **Investor Protection Department**: SEBI officials explained the measures taken to educate and protect investors, including grievance redressal mechanisms and investor awareness programs.
- 3. **Legal and Enforcement Department**: The students gained insights into SEBI's legal framework, enforcement actions against violations, and the adjudication process.

During the tour, students actively engaged with SEBI officials, asking questions and seeking clarifications on various aspects of securities regulation. The officials graciously responded, providing valuable insights and real-world examples to enhance understanding.

Additionally, the visit included a presentation session where SEBI experts discussed recent regulatory developments, challenges faced by the securities market, and future trends. The students found this session particularly enlightening, as it provided them with a deeper understanding of the dynamic nature of regulatory oversight in the financial sector.

Conclusion: The industrial visit to the SEBI office in New Delhi proved to be an enriching and insightful experience for the MBA-II Semester students of RPS College of Engineering and Technology. It provided them with a practical understanding of the regulatory mechanisms governing the securities market in India and exposed them to the professional environment of securities regulation.

The visit not only complemented theoretical knowledge gained in the classroom but also inspired students to consider career opportunities in the field of financial regulation and compliance. The students express their gratitude to SEBI officials for their hospitality and valuable guidance during the visit.

Overall, the industrial visit was a resounding success, contributing significantly to the academic and professional development of the students.

GLIPMS OF THE VISIT













****End of Report****